

Attorney Docket No. STEM1110-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Twardzik, et al.

Art Unit:

1646

Serial No.:

09/641,587

Examiner: Unassigned

Filed:

August 18, 2000

Title:

TGFα POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND METHODS OF

USE THEREFOR

Box IDS

Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of each is enclosed herewith. A copy of a communication from a foreign patent office in a counterpart application is also enclosed, said communication being dated not more than three months prior to the filing of this statement.

CERTIFICATION UNDER 37 CFR §1 8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on The Charles and envelope addressed to Commissioner for Patents, Washington,

Gray Cary\GT\6208760 1 105265 159078

In re Application of: Twardzik, et al. Serial No.: 09/641,587

Filed: August 18, 2000

Page 2

PATENT Attorney Docket No. STEM1110-2

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated March 13, 2001, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date:

Lisa A. Haile, Ph.D.

Registration No. 38,347 Telephone: (858) 677-1456

Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189 Customer Number 28213

DISCLOSURE APPLICANT DOCUMENT NUMBER	U.S. PA	Applicant(s): Twa filing Date: August			un Art I	10/0501		
DOCUMENT	U.S. PA	filing Date: Augus	18, 2000	Gro	un Art I			
1			Filing Date: August 18, 2000			Group Art Unit: 1633		
1		TENT DOCUME	NTS					
	DAT	E NAME	NAME CLAS		SUB- CLASS	FILING DATE		
5,690,928		Heimbrook et al.						
F(<u> </u>				Т			
DOCUMENT NUMBER	DAT	E COUNTRY	CLASS			TRANSLATION (YES/NO)		
WO 95/24466	14.09.9	5 PCT						
WO 97/25349	17 07 9	7 PCT						
WO 96/21676	<u> </u>							
THER DOCUME	NTS (Inc	luding Author, Ti	le, Date, P	ertin	ent Pages	<u> </u>		
	· ·		·· 					
				.,				
EXAMINER			DATE CONSIDERED					
	DOCUMENT NUMBER WO 95/24466 WO 97/25349 WO 96/21676 THER DOCUME	DOCUMENT NUMBER WO 95/24466 14.09.99 WO 97/25349 17 07 99 WO 96/21676 18.07.90 THER DOCUMENTS (Inc.)	DOCUMENT DATE COUNTRY NUMBER WO 95/24466 14.09.95 PCT WO 97/25349 17 07 97 PCT WO 96/21676 18.07.96 PCT THER DOCUMENTS (Including Author, Tit DATE CO	DOCUMENT DATE COUNTRY CLASS MO 95/24466 14.09.95 PCT WO 97/25349 17 07 97 PCT WO 96/21676 18.07.96 PCT THER DOCUMENTS (Including Author, Title, Date, P	DOCUMENT DATE COUNTRY CLASS SOC	DOCUMENT DATE COUNTRY CLASS SUB-CLASS WO 95/24466 14.09.95 PCT WO 97/25349 17 07 97 PCT WO 96/21676 18.07.96 PCT THER DOCUMENTS (Including Author, Title, Date, Pertinent Pages PCT PC		

Form 1449

communication to applicant.

GT\6230508.1 105265

line through citation if not in conformance and not considered. Include copy of this form with next